

FORM PTO-1449	Attorney Docket Number	41543/RRT/S850
INFORMATION DISCLOSURE	Application Number	09/905,329
STATEMENT BY APPLICANT	Filing Date	July 13, 2001
(use as many sheets as necessary)	Applicant(s)	Jonathan D. Goodwin, et al.
	Group Art Unit	2161
	Examiner Name	To Be Determined

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FOREIGN PATENT DOCUMENTS							2100
EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
PB	EP 0 854 448	07/1998	European Patent Office				
PB	WO 94/27258	11/1994	WIPO				
PB	WO 98/57302	12/1998	WIPO				

OTHER DOCUMENTS	
EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE
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U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
<i>AB</i>		US4,831,555B1	05-16-1989	Sansone et al.
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<i>AB</i>		US5,454,038B1	09-26-1995	Cordery et al.

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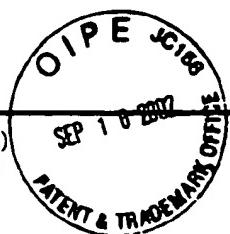
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DR	5,768,132	06-16-1998	Cordery et al.	

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DRAFT INFORMATION DISCLOSURE STATEMENT BY APPLICANT AUG 01 2003 PATENT AND TRADEMARK OFFICE Use as many sheets as necessary)		Application Number	09/905,329
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EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
DR		The United States Postal Service (USPS) Engineering Center; INFORMATION BASED INDICIA PROGRAM (IBIP) INDICUM SPECIFICATION; June 13, 1996; 22pp.
DR		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP): PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR CLOSED IBI POSTAGE METERING SYSTEMS (PCIBI-C); January 12, 1999; 49pp.
DR		The United States Postal Service (USPS); INFORMATION-BASED INDICIA PROGRAM (IBIP); PERFORMANCE CRITERIA FOR INFORMATION-BASED INDICIA AND SECURITY ARCHITECTURE FOR OPEN IBI POSTAGE EVIDENCING SYSTEMS (PCIBI-O); June 25, 1999; 76pp.
DR		U.S. Application Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125pp.
DR		U.S. Application Serial No. 09/688,451 filed October 16, 2000, "Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.
DR		U.S. Application Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.

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DR		U.S. Application Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.
DR		U.S. Application Serial No. 09/690,083 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 109pp.
DR		U.S. Application Serial No. 09/690,243 filed October 17, 2000, "Method and Apparatus for On-Line Value-Bearing Item System", 66pp.
DR		U.S. Application Serial No. 09/690,796 filed October 17, 2000, "Secure and Recoverable Database for On-Line Value-Bearing Item System", 71pp.
DR		U.S. Application Serial No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.
DR		U.S. Application Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.
DS		U.S. Application Serial No. 09/788,069 filed February 16, 2001, "On-Line Value-Bearing Indicium Printing Using DSA", 43pp.
DS		U.S. Application Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.

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EXAMINER INITIALS	CITE NO. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
SP		4,447,890	05-08-1984	Duwel et al.
		4,725,718	02-16-1988	Sansone et al.
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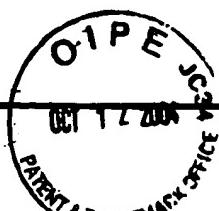
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EXAMINER INITIALS	CITE NO. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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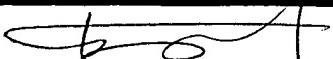
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<i>JR</i>		EP 0 360 225 A2	03-28-1990	Pastor	
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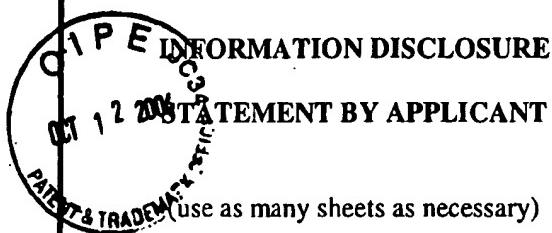
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DRP		PASTOR, Jose; CRYPTOPOST™ - A Cryptographic Application to Mail Processing; Journal of Cryptology; 1991; 137-146 pp.; Vol. 3; Number 2; International Association for Cryptologic Research
DRB		TYGAR, J.D. and YEE, Bennet; Cryptography: It's Not Just for Electronic Mail Anymore; School of Computer Science; March 1, 1993; 1-21 pp.; Carnegie Mellon University, Pittsburg, PA, USA

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<p>FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>RECEIVED JULY 12 2001 PATENT & TRADEMARK OFFICE</p> <p>(use as many sheets as necessary)</p>	Attorney Docket Number	41543/SAH/S850
	Application Number	09/905,329
	Filing Date	July 13, 2001
	Applicant(s)	Jonathan David Goodwin, et al.
	Group Art Unit	2161
	Examiner Name	Not assigned yet

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
IP		TYGAR, J.D. and YEE, Bennet; Dyad: A System for Using Physically Secure Coprocessors; School of Computer Science; May 4, 1991; 1-36 pp.; Carnegie Mellon University, Pittsburg, PA, USA
DB		United States Postal Service, "Information Based Indicia Program Postal Security Device Specification" June 13, 1996 (21 sheets)

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INFORMATION DISCLOSURE
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Applicant(s)	Jonathan D. Goodwin, et al.
Group Art Unit	2161
Examiner Name	To be assigned

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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
TR		5,680,629	10-21-1997	Slayden et al.
DP		US 6,353,926 B1	03-05-2002	Parthesarathy et al.

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EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
SP		RATCLIFFE, Mitch, "Ever feel you're being watched? You will."; <i>Digital Media</i> ; May 16, 1994; v3, n12, 3pgs.

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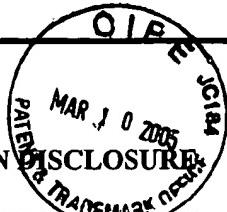
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FORM PTO/SB/08A/B (10-01)
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Applicant(s)	Jonathan D. Goodwin, et al.
Group Art Unit	2161
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TB		5,621,797	04-15-1997	Rosen

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<p>FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>	Attorney Docket Number	41543/SAH/S850
	Application Number	09/905,329
	Filing Date	July 13, 2001
	Applicant(s)	Jonathan D. Goodwin, et al.
	Group Art Unit	3629
	Examiner Name	John Weiss

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
RR		6,081,810	06-27-2000	Rosenzweig et al.
RR		6,151,591	11-21-2000	Pierce et al.
RR		6,226,752 B1	05-01-2001	Gupta et al.

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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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PATENT & TRADEMARK OFFICE

Attorney Docket Number	41543/SAH/S850
Application Number	09/905,329
Filing Date	July 13, 2001
Applicant(s)	Jonathan D. Goodwin, et al.
Group Art Unit	3629
Examiner Name	John Weiss

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
DR		5,384,886	01-24-1995	Rourke
SG		6,098,058	08-01-2000	Gravell et al.
SB		US 2003/0078893 A1	04-24-2003	Shah et al.

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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (<input checked="" type="checkbox"/>)

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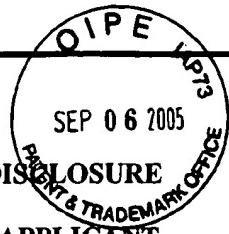
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Attorney Docket Number	41543/SAH/S850
Application Number	09/905,329
Filing Date	July 13, 2001
Applicant(s)	Jonathan D. Goodwin, et al.
Group Art Unit	3639
Examiner Name	Richard Sukyoon Woo

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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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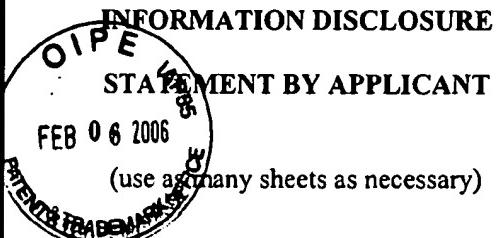
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Applicant(s)	Jonathan D. Goodwin, et al.
Group Art Unit	3639
Examiner Name	Jon M. Bass

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DR		6,473,743 B1	10-29-2002	Ryan, Jr.

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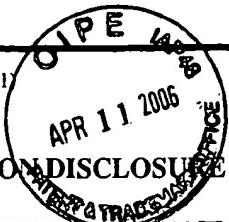
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DR		6,587,880 B1	07-01-2003	Saigo et al.
TR		6,957,196 B1	10-18-2005	Cordery et al.

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		FICKEL, Louise, "Know Your Customer," Leaders for the Next Millennium, CIO Magazine, 08/15/1999, 10pp.
		SAGNER, James S., "Protecting Organizations from Electronic-Transaction Fraud", Healthcare Financial Management, Westchester, Feb. 1995

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